



香港分行 Hong Kong Branch

Fax: 852 - 2175 0653

基金儲蓄計劃 -- 客戶指示

日期：\_\_\_\_\_

客戶姓名：\_\_\_\_\_

證券戶帳號：\_\_\_\_\_

新儲蓄計劃

基金編號	基金名稱	基金幣別	投資日期	每次投資金額	每次認購費	備註
			<input type="checkbox"/> 6日 <input type="checkbox"/> 16日 <input type="checkbox"/> 26日			
			<input type="checkbox"/> 6日 <input type="checkbox"/> 16日 <input type="checkbox"/> 26日			
			<input type="checkbox"/> 6日 <input type="checkbox"/> 16日 <input type="checkbox"/> 26日			

請於每月指定日期(如當日為假日、則為下一個工作天)從本人(等)所選之下列帳戶內扣除本人(等)之每月投資金額，直至另行通知為止：  
請透過本人(等)之證券戶委託書所指定之港元/外幣\*(請刪去不適用者)帳戶交收所有金額及費用，帳號：\_\_\_\_\_

更改現儲蓄計劃  取消現儲蓄計劃

基金編號	基金名稱	原投資貨幣及金額	更改後投資貨幣及金額(如適用)	生效日期	備註

風險評估

客戶風險承受能力：\_\_\_\_\_ 認購產品之風險程度：\_\_\_\_\_ 產品合適性評估：合適 / 不配合#  
#兆豐國際商業銀行股份有限公司(“貴行”)經已向本人(等)清楚講解及本人(等)明白並接納上述投資產品的投資風險可能並不配合本人(等)的風險承受能力，而本人(等)完全準備承受因進行上述投資而可能導致的風險及潛在損失

◎銀行披露：

- 本行以代理人身分執行上述交易指示，且與產品發行人並無任何聯繫。
- 本行並非獨立的中間人，理由如下：1. 我們有收取由其他人士（可能包括產品發行人）就我們向閣下分銷投資產品而提供的費用、佣金或其他金錢收益。詳情請參閱我們按規定在訂立任何投資產品交易前或在訂立任何投資產品交易時須向閣下提供的金錢收益披露；及/或 2. 我們有收取由其他人士提供的非金錢收益，或與我們可能向閣下分銷的產品的發行人有緊密聯繫或其他法律或經濟關係。
- 本行會自交易中保留全部或部份認購費及手續費，作為我們的收益。
- 本行會從認購/轉入基金之產品發行人(基金公司)最多收取基金年度管理費的50%，作為我們在閣下的整段投資期間內每年持續收取的佣金。
- 本行會從基金公司取得於銷售本產品時的銷售支援及服務。
- 在特定情況下，本行可按個別情況自行決定考慮向客戶提供服務費及應付費用的折扣。於決定是否適合提供折扣給任何客戶時，本行會考慮若干因素，包括但不限於交易數量、市場狀況及成本。

◎客戶聲明：

- 本人(等)聲明已收到，參閱並明白所認購基金之說明書及產品資料概要，並同意遵循基金說明書及產品資料概要內的規定及兆豐國際商業銀行股份有限公司之證券帳戶的章則及條款。
- 本人(等)已年滿十八歲，本人(等)已知悉並遵循基金說明書內列明有關申報國籍及/或居住地區之要求及認購基金之有關限制。
- 本人(等)知悉及同意貴行將有絕對自由決定權在本人(等)已投資基金之派息安排上以給予現金或額外原有投資基金單位形式作出處理。
- 本人(等)知悉基金價格或有關收益可升可跌，過去績效並不代表將來表現。
- 本人(等)知悉及同意基金公司有權不附理由拒絕接受本人的基金申請(包括申購/轉換/贖回指示)。
- 本人(等)知悉及同意貴行向基金公司披露本人的個人資料。上述披露純為使方便本人的基金投資。
- 本人(等)明白及同意本交易指示的內容及所涉及的相關費用、收費及支出。
- 本人(等)明白及同意過度或短線交易，基金公司有權採取拒絕交易、調整淨值或就交易收取額外費用等管控措施。

客戶簽章：\_\_\_\_\_

For Bank Use Only 僅供銀行使用		Bank Copy 銀行正本	
The above risk statements have been disclosed and explained to the customer(s) by the staff		Unmatched Case	
Signature of the Staff		<input type="checkbox"/> Yes / <input type="checkbox"/> No	
Signature(s) Verified by:		Vulnerable Customer	
Approved by:		<input type="checkbox"/> Yes / <input type="checkbox"/> No	
Handled by:		High Risk/PEPs: <input type="checkbox"/> Yes <input type="checkbox"/> No	
Referred by:		Remarks:	



**兆豐國際商業銀行股份有限公司 (註冊成立於臺灣)**  
**MEGA INTERNATIONAL COMMERCIAL BANK CO., LTD. (Incorporated in Taiwan)**  
**香港分行 Hong Kong Branch**

**Unit Trusts Savings Plan---Customer Instruction Form**

Fax: 852 - 2175 0653

基金儲蓄計劃 -- 客戶指示

Date : \_\_\_\_\_

Customer Name(s) :

Securities Account No.:

**New Savings Plan**

Fund Code	Fund Name	Fund Currency	Investment Date during the Month	Amount for Single Investment	Fee for Single Subscription	Remarks
			<input type="checkbox"/> 6 <input type="checkbox"/> 16 <input type="checkbox"/> 26			
			<input type="checkbox"/> 6 <input type="checkbox"/> 16 <input type="checkbox"/> 26			
			<input type="checkbox"/> 6 <input type="checkbox"/> 16 <input type="checkbox"/> 26			

Please debit my/our monthly investment amount from my/our selected account below on the specific day of each calendar month (or its next succeeding working day in case of a holiday) until further notices: My/our HKD/ foreign currents \*(delete as inappropriate) designated account as stipulated in my/our Securities Account Mandate, A/C No \_\_\_\_\_

**Change of Existing Savings Plan**

**Termination of Existing Saving Plan**

Fund Code	Fund Name	Original Investment Currency & Amount	Revised Investment Currency & Amount (if appropriate)	Effective Date	Remarks

**Risk Profiling Validation**

Customer Risk Profile: \_\_\_\_\_ Product(s) Risk Level: \_\_\_\_\_ Product(s) Suitability Check : Suitable/ Unmatched #  
**# Mega International Commercial Bank Co., Ltd. (the "Bank") has already explained to me/us and that I/we understand and accept that the above investment product(s) may not match my/our risk profile and I/we am/are fully prepared to take the risks and meet the possible losses that may result from going ahead with the above investment.**

◎Bank's Disclosure:

- We act as agent in executing the above instruction and do not have any affiliation with the product issuer.
- We are NOT an independent intermediary because: 1. we receive fees, commissions, or other monetary benefits from other parties (which may include product issuers) in relation to our distribution of investment products to you. For details, you should refer to our disclosure on monetary benefits which we are required to deliver to you prior to or at the point of entering into any transaction in investment products; and/or 2. we receive non-monetary benefits from other parties, or have close links or other legal or economic relationships with issuers of products that we may distribute to you.
- We will retain up to 100% of subscription and switching fee as our profits.
- We will receive from the product issuer [the fund house(s)] up to 50% of Fund's annual management fees as ongoing commission every year throughout the term of your investment.
- We will receive sales support and services in the sales of this product from the fund house(s).
- In certain circumstances, the Bank may in its discretion consider to give the clients a discount on the fees and charges payable by the clients on a case-by-case basis. In determining whether it is appropriate to give a discount to any client, the Bank will consider a number of factors, including but not limited to transaction size, market condition and costs.

◎Client's Declaration:

- I/We acknowledge that I/we have received, read and understand the relevant prospectus and product key facts for the above fund(s) and, I/we agree to be bound by the terms and conditions set out in the prospectus, product key facts and Terms and Conditions of Securities Account of Mega International Commercial Bank Co., Ltd..
- I/We am/are over 18 years of age, and I/we am/are aware of and in compliance with the requirement for declaration of citizenship and/or residence as stipulated in the prospectus, and relevant restriction on subscription, if any.
- I/We acknowledge and agree that the Bank shall have absolute discretion to distribute the dividend of my/our invested funds to me/us in form of cash or additional unit(s) of the respective funds.
- I/We am/are aware that price of units and the income from them may go down as well as up. Past performance is not indicative of future performance.
- I/We acknowledge and agree that fund house(s) may reject my application for mutual fund(s) in its absolute discretion and without giving any reason thereof (including subscription / switching / redemption order).
- I/We acknowledge and agree that the Bank may disclose my personal information to fund house(s). Such disclosure is only aimed at facilitating my mutual fund investment.
- I/We understand and agree the contents and relevant fees, charges and expenses in relation to this Instruction.
- I/We understand and agree that where short-term and excessive trading is suspected, the fund house(s) have the right to reject instruction, adjust the Net Asset Value per Share, charge additional transaction fees, or to take further action as they may deem appropriate.

Customer Signature(s) : \_\_\_\_\_

For Bank Use Only		Bank Copy	
The above risk statements have been disclosed and explained to the customer(s) by the staff		Unmatched Case	
<b>Signature of the Staff</b>	<b>HKMA Registration No.</b>	<input type="checkbox"/> Yes / <input type="checkbox"/> No	Vulnerable Customer <input type="checkbox"/> Yes / <input type="checkbox"/> No
Signature(s) Verified by:	Approved by:		High Risk/PEPs: <input type="checkbox"/> Yes <input type="checkbox"/> No
Handled by:	Referred by:	Remarks:	