

Privacy Notice – E.U.-Based Individuals

Background. In the course of doing business with Mega International Commercial Bank New York Branch (“Mega New York Branch,” “we,” or “us”), we may ask you to share certain Personal Data with us, including financial data. Mega New York Branch respects your right to privacy. We understand that you have entrusted us with this information and we recognize the importance of protecting it from unnecessary or unauthorized access.

Scope. This Policy governs the processing of Personal Data relating to individuals resident inside the European Union. “Personal Data” includes data about the individual that is processed consistent with the individual’s relationship with Mega New York Branch, and may include financial and other details that have been provided to Mega New York Branch. Mega New York Branch is the data controller of this Personal Data you have shared with us, and we take that responsibility seriously.

I. Information that we collect

We may collect Personal Data about you when you communicate or transact business with us or with our service providers. You might provide this information in writing, electronically or by telephone. For example, we collect Personal Data (such as name, address, account and other investment information) about you from the following sources:

- Applications or forms completed by you;
- Information you provide during telephone conversations, email, or contact forms that you send us; and
- Your transactions and account positions with us, our affiliates or others (including, for example, your own broker or custodian).

II. Purposes for which we process the information

The Personal Data you provide us may be processed for several purposes related to performing the service(s) which you expect of Mega New York Branch related to the management and administration of your account, including:

- Operational purposes, credit assessment, and statistical analysis.
- Providing you with information concerning products and services which we believe will be of interest to you.
- Complying with any requirement of law, anywhere in the world.
- Confirming and verifying your identity (this may involve the use of a credit reference agency or other third parties acting as our agents) and conducting due diligence.
- The detection, investigation and prevention of fraud and other crimes or malpractice.

- For the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings), for obtaining legal advice or for establishing, exercising or defending legal rights.

III. Information that we disclose

We may disclose your Personal Data to third parties in the following, limited circumstances:

- To companies that help us maintain, process or service your transactions or account(s) or financial products or services effected by or through us;
- To companies that assist us in client servicing; or
- If you request or authorize us to do so, for institutional risk control, or in other circumstances where we believe in good faith that disclosure is required or permitted under law.

Mega New York Branch takes reasonable steps to require third parties by contract to use the information only for the services for which we hire them, and to protect the confidentiality and security of this information.

IV. Safeguarding your data

We maintain physical, electronic, and procedural safeguards consistent with commercially reasonable practices to protect your Personal Data. For example, Mega New York Branch No method of data transmission or mode of data storage is 100 percent secure, however, so we cannot guarantee the security of your data.

V. International Data Transfer

As Mega New York Branch is a company with global scope, some of your Personal Data may be processed outside the European Union to perform the services for which you have contracted with Mega New York Branch. We may also transfer your Personal Data overseas to comply with reasonable requests under law. Regardless of the location of your Personal Data, we have a number of safeguards in place to protect it.

VI. Retaining your data

We hold on to your Personal Data for as long as necessary under applicable laws and consistent with good practice. Information that is no longer relevant to your relationship with us will be deleted in a reasonable amount of time, consistent with applicable legal requirements.

VII. Your GDPR rights

Under the General Data Protection Regulation (“GDPR”) you have a number of rights with regard to your Personal Data. For example, you have the right to request from us access to and rectification or erasure of your Personal Data, the right to restrict processing, object to processing and (in certain circumstances) the right to data portability. If you have provided consent for the processing of your data, you have the right (in certain circumstances) to withdraw that consent at any time; however, such withdrawal will not affect the lawfulness of the processing before your

consent was withdrawn. You also have the right to lodge a complaint if you believe that we have not complied with the requirements of the GDPR regarding your Personal Data.

If you have any concerns as to how your Personal Data is processed, please contact us at

Phone: 212-608-4222 EXT.101

Email: nyb.business@megaicbc.com

Mail: Mega International Commercial Bank Co. Ltd.
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