

## Dormant Account

### 靜止戶

Accounts have no activity other than transactions initiated by the bank (such as interest and charges) within 6 months with balance falling below HK\$5,000 or its equivalent will be classified as dormant.

帳戶在六個月內並無任何交易(本行主動支付利息及扣除手續費的交易除外)及存款低於 HKD\$5,000，本行會歸納此類帳戶為靜止戶。

## Process of Dormant Account Activation

### 解除靜止戶流程



## Documents Required for Dormant Account Activation - Individual/Joint Account

### 申請解除靜止戶文件 - 個人/聯名帳戶

#### Important Note 重要需知

- If original licence(s) and/or document(s) is/are not in English or Chinese language, a certified transcript in English or Chinese translation by professional person(s) as defined in the below-mentioned points "a" to "c" should be attached therein.  
證照及/或文件正本非英文或中文語言，必須另外附上由下述第"a"至"c"點所定義之專業人士所認證的英文或中文譯本。
  - A certified public accountant / lawyer / authorised signor(s) of the current financial institutions / notary public of a Financial Action Task Force (FATF) member / equivalent jurisdiction; or  
由「打擊清洗黑錢財務行動特別組織」認可的成員區或具對等地位之地區的執業會計師/律師/往來金融機構被授權簽字人/公證人；或
  - Authorised person of governmental department / embassy / consulate or equivalent official organisation of the above-mentioned member / equivalent jurisdiction; or  
上述成員區/對等地區之政府機關、大使館、領事館或具對等地位的官方機構代表職員；或
  - Hong Kong Institute of Chartered Secretaries (HKICS) member.  
香港特許秘書公會會員。
- This checklist is for general reference only and is not exhaustive. Apart from the documents listed in the check list, the Bank may further request you to provide more account opening details, forms, declarations and supplementary documents where it considers necessary or desirable.  
本文件清單只供一般參考，並不代表全部。除本清單所列之文件外，如本行認為有需要或合適時，本行可要求客戶提供進一步開戶資料、表格、聲明書及補充文件。
- The Bank reserves the rights to decline any account opening request without providing any reason.  
本行保留不接受開戶申請的權利並毋須提供任何理由。

#### Documents Required for Dormant Account Activation 申請解除靜止戶文件

##### Individual/Joint Account 個人/聯名帳戶

Duplicate Copy of Identification Documents of all Applicant(s)

所有申請人之身分證明文件副本

<p>Taiwan Citizens 台灣居民</p>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Passport<sup>(1)</sup> 護照<sup>(1)</sup></li> <li><input type="checkbox"/> Identity Card / Driving Permit<sup>(3)</sup> / Residential Address Proof<sup>(2)</sup> 身分證 / 駕駛執照<sup>(3)</sup> / 住址證明<sup>(2)</sup></li> <li><input type="checkbox"/> <b>Original copy of applicable form and relevant consent/authorization documents under FATCA of The USA<sup>(4)</sup></b> 美國海外帳戶稅收合規法案下的適用表格及有關授權文件正本<sup>(4)</sup></li> <li><input type="checkbox"/> <b>Original copy of Application for Dormant Account Activation - Individual/Joint Account</b> 解除靜止戶申請書正本-個人/聯名帳戶</li> </ul>
<p>Hong Kong &amp; Macau Citizens 港澳居民</p>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Identity Card 身分證</li> <li><input type="checkbox"/> Residential Address Proof<sup>(2)</sup> 住址證明</li> <li><input type="checkbox"/> <b>Original copy of applicable form and relevant consent/authorization documents under FATCA of The USA<sup>(4)</sup></b> 美國海外帳戶稅收合規法案下的適用表格及有關授權文件正本<sup>(4)</sup></li> <li><input type="checkbox"/> <b>Original copy of Application for Dormant Account Activation - Individual/Joint Account</b> 解除靜止戶申請書正本-個人/聯名帳戶</li> </ul>

Other Nationalities 其他國籍人士	<input type="checkbox"/> Passport <sup>(1)</sup> 護照 <sup>(1)</sup> <input type="checkbox"/> Residential Address Proof <sup>(2)</sup> / Driving Permit <sup>(3)</sup> with Permanent Address Printed Thereon 住址證明 <sup>(2)</sup> / 具永久地址之駕駛執照 <sup>(3)</sup> <input type="checkbox"/> <b>Original copy of applicable form and relevant consent/authorization documents under FATCA of The USA<sup>(4)</sup></b> 美國海外帳戶稅收合規法案下的適用表格及有關授權文件正本 <sup>(4)</sup> <input type="checkbox"/> <b>Original copy of Application for Dormant Account Activation - Individual/Joint Account</b> 解除靜止戶申請書正本 - 個人/聯名帳戶
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<b>Documents Required for Foreign Account Tax Compliance Act of the USA 美國《海外帳戶稅收合規法案》文件</b>	
Individual/Joint Account 個人/聯名帳戶	
Duplicate Copy of Identification Documents of all Applicant(s) 所有申請人之身分證明文件副本	
Taiwan Citizens 台灣居民	<input type="checkbox"/> Form W-8BEN 表格 W-8BEN <input type="checkbox"/> Personal Information Disclosure Consent 個人資料披露同意書 <input type="checkbox"/> 台灣個資法回條
Hong Kong & Macau Citizens 港澳居民	<input type="checkbox"/> Form W-8BEN 表格 W-8BEN <input type="checkbox"/> Personal Information Disclosure Consent 個人資料披露同意書
Holder of U.S. citizenship 持美國籍人士	<input type="checkbox"/> Form W-9 表格 W-9 <input type="checkbox"/> WAIVER 同意書 <input type="checkbox"/> Personal Information Disclosure Consent 個人資料披露同意書
Other Nationalities 其他國籍人士	<input type="checkbox"/> Form W-8BEN 表格 W-8BEN <input type="checkbox"/> Personal Information Disclosure Consent 個人資料披露同意書

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## Note 註解

(1) Passport 護照	<p>The passport should have at least 6 months validity subsequent to the account opening date. Recent photo should be affixed on the identification page and full signature of the holder should be duly completed at the specified signing space of the inner page. 護照有效期不可少於由開戶日起計後 6 個月，其個人資料頁必須已貼上持證人近照，及資料內頁須已填妥持證人簽署欄。</p>
(2) Residential Address proof 住址證明	<p>Valid address proof on correspondence (including but not limited to computerised bank statements, water / electricity / gas bills, daily / monthly statements, receipts, formal letters, advices, reference letters and bills issued by governmental departments / utilities / financial institutions) within previous 3 months from the account opening date. Full name of the person, date of issue and full correspondence address should be printed on the same page of the document. 由開戶日起計最近前 3 個月的有效通訊地址證明(包括但不限於由電腦系統印發的銀行對帳單、水/電/瓦斯費用帳單、由政府機關/公用事業/金融機構發出之日/月結單、收據、公函、通知書、證明信及對帳單等)。該文件必須清楚在同一版面上顯示該名人士的全名、發函日期及地址全寫。 For avoidance of doubt, valid residential address proof does not include promotional leaflets and items, booklets and publications, advertisements, application forms with address pre-printed, envelops, etc. issued by the above-mentioned recognised departments and organisations. 為免生疑，有效之住址證明不包括由上述機構發出之宣傳品、小冊子及刊物、推銷廣告、已預印地址之申請書、信封等。 Addresses indicating a mailbox, mailing service intermediaries or post office box are not accepted. 恕不接受任何地址為信箱、郵件服務中介或郵政信箱。</p>
(3) Driving Permit 駕駛執照	<p>Driving Permit refers to a valid national driving license bearing the holder's photograph. For avoidance of doubt, international driver's permits and licences are not acceptable for this purpose. 駕駛執照是指由主管的國家或政府機關簽發的該國有效駕駛執照，而執照上須有照片證明持有人的身分。為免生疑，恕不接受國際駕駛許可證及執照。</p>
(4) Original copy of applicable form under FATCA of The USA 美國海外帳戶稅收合規法案下的適用表格正本	<p>Please contact the Bank during office hours for further information. 有關詳情可於辦公時間內向本行查詢。</p>

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Gentle Reminder: Dormant Account Activation procedures shall be suspended and account number(s) shall not be provided until all fields of the form(s) are duly completed and relevant appropriate supporting documents are fully provided.

敬請注意：恕不接受在開戶表格資料填報缺漏或未能悉數補回相關合宜文件之情況下辦理解除靜止戶手續。

- Thank you for choosing Mega International Commercial Bank Hong Kong Branch -

- 多謝選擇兆豐國際商業銀行香港分行 -

兆豐國際商業銀行香港分行  
MEGA INTERNATIONAL COMMERCIAL BANK  
Hong Kong Branch

香港九龍尖沙咀廣東道21號海港城港威大廈  
英國保誠保險大樓22樓2201室  
Suite 2201, 22/F, Prudential Tower, The Gateway, Harbour City,  
21 Canton Road, Tsimshatsui, Kowloon, Hong Kong  
Tel: (852) 25259687 Fax: (852) 25259014

# Application for Dormant Account Activation

## 解除靜止戶申請書

(Individual/Joint Account 個人/聯名帳戶)



**解除靜止戶申請書 - 個人/聯名帳戶**  
**Application for Dormant Account Activation - Individual/Joint Account**

日期 Date: \_\_\_\_\_

客戶名稱 Customer Name: \_\_\_\_\_

客戶編號 Customer ID: 965-XX-

我 / 我們 於 貴行持有與上述銀行帳戶。我 / 我們 至今經過一段長時間未有使用上述銀行帳戶。我 / 我們 了解基於安全理由，貴行已將上述銀行帳戶歸類為「靜止戶」。我 / 我們 現在同意今後將持續使用上述銀行帳戶，並希望 貴行能把該帳戶重新歸類為「正常戶」。我 / 我們 同意提供 貴行所要求的所有文件。

I am / We are maintaining the above bank account with you. The said bank account has not been operated by me / us for a long time now. I / We understand that due to security reasons, the Bank has classified the said account as “dormant”. I / We now agree to operate the said bank account regularly and request you to re-classify the account as “active”. I am / We are agreeable to provide all documents requested by the bank.

此致 Yours truly,

原留印鑑簽章 (授權簽字人簽章)  
Original Specimen Signature (Signature of Authorised Person)

戶主簽名  
Signature of Account Owner

.....  
(與原留印鑑相同 Same as that in the Bank's record)

.....

\* must fill in 必須填寫

\* must fill in 必須填寫

通知 Note:

- 本行保留不接受申請的權利並毋須提供任何理由。The Bank reserves the right to decline any request without providing any reason.
- 如有任何爭議，本行將保留最終決定權。In case of any dispute, the Bank reserves the right to make the final decision.

銀行專用 FOR BANK USE ONLY			
RECEIVED DATE	EFFECTIVE DATE	HANDLED BY	CHECKED BY

兆豐國際商業銀行香港分行  
MEGA INTERNATIONAL COMMERCIAL BANK  
Hong Kong Branch

香港九龍尖沙咀廣東道 21 號海港城港威大廈  
英國保誠保險大樓 22 樓 2201 室  
Suite 2201, 22/F, Prudential Tower, The Gateway, Harbour City,  
21 Canton Road, Tsimshatsui, Kowloon, Hong Kong  
Tel: (852) 25259687 Fax: (852) 25259014

Documents Required for Foreign Account  
Tax Compliance Act of the USA  
美國《海外帳戶稅收合規法案》文件

(Individual/Joint Account 個人/聯名帳戶)

**Certificate of Foreign Status of Beneficial Owner for United States  
Tax Withholding and Reporting (Individuals)** For use by individuals. Entities must use Form W-8BEN-E Information about Form W-8BEN and its separate instructions is at [www.irs.gov/formw8ben](http://www.irs.gov/formw8ben). Give this form to the withholding agent or payer. Do not send to the IRS.**Part I Identification of Beneficial Owner(受益人資料)****1 Name of individual who is the beneficial owner(姓名)****2 Country of citizenship(國籍)****3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.  
(永久居住地址)(請勿填列郵政信箱或代收郵件地址)**

City or town, state or province. Include postal code where appropriate(縣市及郵遞區號)

Country(國家)

**4 Mailing address (if different from above)(通訊地址)(與上述相同者免填)**

City or town, state or province. Include postal code where appropriate(縣市及郵遞區號)

Country(國家)

**5 U.S. taxpayer identification number (SSN or ITIN), if required(美國稅籍碼)****6 Foreign tax identifying number(美國以外稅籍碼)****7 Reference number(s)(其他證號)****8 Date of birth(MM-DD-YYYY)(出生月日年)****Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only)(所得稅減免要求)****9 I certify that the beneficial owner is a resident of \_\_\_\_\_ within the meaning of the  
income tax treaty between the United States and that country.**

(受益人為美國與 \_\_\_\_\_ (國名)簽署所得稅互惠協定中所定義之居民)

**10 Special rates and conditions (if applicable) : The beneficial owner is claiming the provisions of Article**\_\_\_\_\_ of the treaty identified on line 9 above to claim a \_\_\_\_\_ % rate of withholding on (specify type  
of income) : \_\_\_\_\_

Explain the reasons the beneficial owner meets the terms of the treaty article : \_\_\_\_\_

(特別稅率及條件:受益人聲明已依據第9項協定之第 \_\_\_\_\_ 項受預先扣繳 \_\_\_\_\_ %的稅率(請說明收入類  
型) : \_\_\_\_\_ 說明受益人符合協定規定之理由 : \_\_\_\_\_)

Part III Certification(聲明事項)

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that :

(本人聲明本表所載訊息為真實、正確及完整，同時並確認以下各項為真，如有不實，願負偽證罪之相關刑責)

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,  
(本人為與此表格相關收入之最終受益人(或被授權替最終受益人簽署此表格)或本人以此表格記載本人為美國境外金融機構帳戶之所有權人或持有人)
- The person named on line 1 of this form is not a U.S. person,  
(本人非為美國人)
- The income to which this form relates is :  
(與此表格相關之所得)
  - (a) not effectively connected with the conduct of a trade or business in the United States,  
(不是由在美國的商業活動所產生之所得)
  - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or  
(是由在美國之商業活動所產生但可依租稅協議豁免之所得)
  - (c) the partner's share of a partnership's effectively connected income,  
(是合夥人因合夥持份所被分配之美國商業活動所產生之所得)
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and  
(依適用之租稅協議，本人為第 9 項與美國有租稅協議國家之居民)
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.  
(若為經紀交易或以物易物交易，此受益人為被豁免之非美籍人士)

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

(此外，本人授權此表格得交付任何對以本人為受益人之所得有控制、收取或保管權之扣繳義務人或對以本人為受益人之所得有發放或給付權之扣繳義務人。本人同意若在此表格上之資料已有變更或錯誤，應於 30 日內重填新表格送交 貴行)

Sign Here

(簽章)



Signature of beneficial owner (or individual authorized to sign for beneficial owner)  
(受益人或被授權人簽章)

Date (MM-DD-YYYY)  
(填表日期)

Print name of signer  
(簽名之正楷書寫)

Capacity in which acting (if form is not signed by beneficial owner)  
(由被授權人簽章時，其與受益人之法律關係)

\* Chinese version only for reference.

\* 本表格以英文內容為主，中文僅供參考

致：兆豐國際商業銀行香港分行  
To: Mega International Commercial Bank Hong Kong Branch

## 個人資料披露同意書 Personal Information Disclosure Consent

本人 \_\_\_\_\_ (簽發國 \_\_\_\_\_ 之身分證／護照編號：  
\_\_\_\_\_)，現確認、同意並授權 貴行，依據 貴行個人資料適用政策、  
通告及文件，在適當的情況下向第三方（包括但不限於通匯代理銀行）披露本人的個人資料。  
I, \_\_\_\_\_ (ID / Passport No.: \_\_\_\_\_ of the  
issuing country \_\_\_\_\_), hereby acknowledge, agree and authorize your good  
Bank, where the circumstances are appropriate, to disclose my personal information to the third  
party(ies) [including but without limitation to correspondent bank(s)] pursuant to your good Bank's  
applicable personal data policies, notices and documents.

本人確認，截至本同意書簽發日止，本人於 貴行所保存的個人資料均屬真實、正確、最新及  
完整的資料。如本人在 貴行所保存之資料有任何更改，本人承諾會即時以 貴行規定之表格  
通知 貴行。

I confirm that, as of the date of this letter being signed and delivered, the information currently being  
maintained at your good Bank which is given by me is true, correct, update and complete. I further  
undertake to notify your good Bank forthwith in the form prescribed by your good Bank in case of  
any change of any such information being maintained at your good Bank.

本人明白及確認，在適當的情況下，本人之個人資料或須披露予其他司法管轄區的主管當局，  
包括但不限於臺灣及美國主管當局。

I understand, acknowledge and agree that, where the circumstances are appropriate, my personal data  
may be disclosed to the competent authority of other jurisdictions including, without limitation, the  
competent authority of Taiwan and United States.

日期： \_\_\_\_\_ 年 \_\_\_\_\_ 月 \_\_\_\_\_ 日  
Date: \_\_\_\_\_ Year \_\_\_\_\_ Month \_\_\_\_\_ Day

資料當事人簽署：  
Signature of Data Subject: \_\_\_\_\_

(必須與原留底印鑑相符)  
[Please use signature(s) originally filed with the Bank]

資料當事人姓名：  
Name of Data Subject: \_\_\_\_\_

(姓氏先行，請用大楷書寫)  
[Surname first. Please fill with BLOCK LETTERS]

銀行內部專用 FOR BANK INTERNAL USE ONLY			
Cust No(s).		Date Recv.	
Remark(s)	Supervisor	Checked by	S.V.

## 回條

茲收到兆豐國際商業銀行香港分行交付下列資料：

1. \_\_\_\_\_ 契約書\*／申請書\*乙份；  
(文件名稱)
2. 「關於兆豐國際商業銀行履行中華民國個人資料保護法告知義務內容之通知」暨「履行中華民國個人資料保護法第八條第一項告知義務內容」及其附表乙份

簽收人：

身分證統一編號／護照編號：

客戶號：

告知註記：	
告知人：	主管：

西 元

年

月

日

註(\*)：請刪去不適用者。



In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity,
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust, and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

### Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code* on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships* on page 1.

**What is FATCA reporting?** The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code* on page 3 and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

**Partnership, C Corporation, or S Corporation.** Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

**Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulation section 301.7701-2(c)(2)(iii). Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

**Note.** Check the appropriate box for the U.S. federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

**Limited Liability Company (LLC).** If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the U.S. federal tax classification in the space provided. If you are an LLC that is treated as a partnership for U.S. federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation, as appropriate. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for U.S. federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

**Other entities.** Enter your business name as shown on required U.S. federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

## Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the *Exemptions* box, any code(s) that may apply to you. See *Exempt payee code* and *Exemption from FATCA reporting code* on page 3.

**Exempt payee code.** Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends. Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.

**Note.** If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following codes identify payees that are exempt from backup withholding:

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements.

- A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
- B—The United States or any of its agencies or instrumentalities
- C—A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
- D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
- E—A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
- F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

- G—A real estate investment trust
- H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
- I—A common trust fund as defined in section 584(a)
- J—A bank as defined in section 581
- K—A broker
- L—A trust exempt from tax under section 664 or described in section 4947(a)(1)
- M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Part I. Taxpayer Identification Number (TIN)**

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note.** See the chart on page 4 for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at [www.ssa.gov](http://www.ssa.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/businesses](http://www.irs.gov/businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting [IRS.gov](http://IRS.gov) or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note.** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

**Part II. Certification**

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt payee code* earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

**What Name and Number To Give the Requester**

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
5. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

\*Note. Grantor also must provide a Form W-9 to trustee of trust.

**Note.** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

**Secure Your Tax Records from Identity Theft**

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: [spam@uce.gov](mailto:spam@uce.gov) or contact them at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 1-877-IDTHEFT (1-877-438-4338).

Visit [IRS.gov](http://IRS.gov) to learn more about identity theft and how to reduce your risk.

**Privacy Act Notice**

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

## 同意書(Waiver)

一、 帳戶資料之申報：

茲因本人/本公司係 貴行依美國「海外帳戶稅收遵循法」(Foreign Account Tax Compliance Act,以下簡稱 FATCA 法案)所規定應向美國國稅局(IRS)申報之往來對象，茲同意 貴行在依據 FATCA 法案申報本人/本公司與 貴行往來相關資料之特定目的範圍內，得為必要之資料蒐集、處理、利用與國際傳輸。

1. Declaration of account information:

Whereas I/the company am/is a business customer of Mega International Commercial Bank Co., Ltd. (Mega Bank), and in compliance to the Foreign Account Tax Compliance Act (FATCA), Mega Bank is regulated to report all business transactions to the Internal Revenue Service (IRS). In agreement to declare the specific range of relevant information between Mega Bank and me/the company in regards to FATCA, certain necessary information gathering, deposition, utilization and international transmissions are required.

二、 本人/本公司已於合理期間內，審閱上述同意條款全部內容，並經 貴行明確告知各款內容及相關風險，茲聲明本人/本公司已完全充分瞭解上述條款內容而願遵守該條款。

2. I/The company have/has already examined the full content of this form contract in a sufficient amount of time, and am/is fully informed of the content and related risks. It is a true statement made by me/the company with fully understanding the content and willing to cooperate and comply.

此 致

Above all

兆豐國際商業銀行

Mega International Commercial Bank

存戶簽章：

(Sign here)

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(與印鑑卡「存戶簽名及蓋章欄」留存之簽樣相符)

(It should be consistent with the specimens archived in the boxes of specimen seal certificate card of the Depositor.)

身分證統一編號/統一編號：

(ID number)

日期：

(Date)

經辦：\_\_\_\_\_ 驗印：\_\_\_\_\_

**Mega International Commercial Bank's Statement of Advice  
Regarding the Compliance of the Disclosure Obligation as Prescribed in  
the Personal Information Protection Act of the Republic of China ("the Statement")**  
關於兆豐國際商業銀行履行中華民國個人資料保護法告知義務內容之通知（下稱「本通知」）

**(Only Applicable to Holder(s) of the Republic of China Citizenship)**  
**(僅限擁有中華民國籍人士適用)**

- Pursuant to the Personal Information Protection Act ("the Act"), Mega International Commercial Bank Co., Ltd. Hong Kong Branch ("the Bank") provides detailed information about the Bank's usage and purpose of personal data collected from customers. The Bank hereby encloses a copy of the Statement hereunder for your information.  
根據中華民國個人資料保護法（以下稱「個資法」），兆豐國際商業銀行股份有限公司香港分行（下稱「本行」）提供有關本行從客戶收集得來之個人資料的使用及目的詳情。現附上本通知的詳細內容如下，俾供參考。
- Upon using the Bank's services, customers and/or data subjects acknowledge that all statements, notices and policies which are issued or announced from time to time have been carefully read, and agree to be bound by the aforesaid documents, and fully understand the Bank's intentions and purposes of collecting, processing and handling customers' and/or data subjects' personal data.  
客戶及／或資料當事人利用本行服務，即表示已細閱及同意本行不時所載的所有保障個人資料相關通知、通告及政策，並已清楚瞭解本行蒐集、處理或利用客戶及／或資料當事人之個人資料的目的及用途。
- Nothing in this Statement shall limit the rights of customers under the Personal Data (Privacy) Ordinance of Hong Kong.  
本通知不會限制客戶在香港個人資料（私隱）條例下所享有的權利。
- The person to whom enquires the Bank's privacy policies and practices are to be addressed is as follows:  
任何關於本行的個人資料政策及作業之查詢，應向下列人士提出：

The Personal Information Management Officer 個人資料管理主任			
Mega International Commercial Bank Co., Ltd. Hong Kong Branch 兆豐國際商業銀行股份有限公司香港分行			
Address 地址	Suite 2201, 22/F, Prudential Tower, The Gateway, Harbour City, 21 Canton Road, Tsimshatsui, Kowloon, Hong Kong 香港九龍尖沙咀廣東道 21 號海港城港威大廈英國保誠保險大樓 22 樓 2201 室		
Telephone 電話	(852) 2525-9687	Fax 傳真	(852) 2525-9014
		Email 電郵	icbchkg@netvigator.com

- Only Chinese version of the clauses of this Statement hereunder shall be provided. Chinese explanation shall apply and prevail therewith.  
本行只提供本通知內詳細條項的中文版本，概以中文釋義為準。
- Annex (see overleaf) constitutes an integral part of this Statement.  
附表（見後頁）成為本通知之一部分。

**履行中華民國個人資料保護法第八條第一項告知義務內容**  
**Statement of Advice Regarding the Compliance of Paragraph 1 of Article 8 of**  
**the Personal Information Protection Act of the Republic of China**

親愛的客戶您好，由於個人資料之蒐集，涉及 臺端的隱私權益，本行向 臺端蒐集個人資料時，依據個資法第八條第一項規定，應明確告知 臺端下列事項：

- 蒐集者名稱（即「兆豐國際商業銀行」）；
- 蒐集之目的；
- 個人資料之類別；
- 個人資料利用之期間、地區、對象及方式；
- 當事人依個資法第三條規定得行使之權利及方式；
- 當事人得自由選擇提供個人資料時，不提供將對其權益之影響。

- 有關本行蒐集 臺端個人資料之目的、個人資料類別及個人資料利用之期間、地區、對象及方式等內容，請 臺端詳閱如後附表或本行網站。
- 依據個資法第三條規定， 臺端就本行保有 臺端之個人資料得行使下列權利：
  - 除有個資法第十條所規定之例外情形外，得向本行查詢、請求閱覽或請求製給複製本，惟本行依個資法第十四條規定得酌收必要成本費用。
  - 得向本行請求補充或更正，惟依個資法施行細則第十九條規定， 臺端應適當釋明其原因及事實。
  - 本行如有違反個資法規定蒐集、處理或利用 臺端之個人資料，依個資法第十一條第四項規定， 臺端得向本行請求停止蒐集。
  - 依個資法第十一條第二項規定，個人資料正確性有爭議者，得向本行請求停止處理或利用 臺端之個人資料。惟依該項但書規定，本行因執行業務所必須並註明其爭議或經 臺端書面同意者，不在此限。
  - 依個資法第十一條第三項規定，個人資料蒐集之特定目的消失或期限屆滿時，得向本行請求刪除、停止處理或利用 臺端之個人資料。惟依該項但書規定，本行因執行業務所必須或經 臺端書面同意者，不在此限。
- 臺端如欲行使上述個資法第三條規定之各項權利，有關如何行使之方式，得向本行查詢（電話：(852) 2525-9687）。
- 臺端得自由選擇是否提供相關個人資料及類別，惟 臺端所拒絕提供之個人資料及類別，如果是辦理業務審核或作業所需之資料，本行可能無法進行必要之業務審核或作業而無法提供 臺端相關服務或無法提供較佳之服務，敬請見諒。

**Mega International Commercial Bank's Statement of Advice  
Regarding the Compliance of the Disclosure Obligation as Prescribed in  
the Personal Information Protection Act of the Republic of China ("the Statement")**  
關於兆豐國際商業銀行履行中華民國個人資料保護法告知義務內容之通知（下稱「本通知」）

**(Only Applicable to Holder(s) of the Republic of China Citizenship)**  
**(僅限擁有中華民國籍人士適用)**

- Pursuant to the Personal Information Protection Act ("the Act"), Mega International Commercial Bank Co., Ltd. Hong Kong Branch ("the Bank") provides detailed information about the Bank's usage and purpose of personal data collected from customers. The Bank hereby encloses a copy of the Statement hereunder for your information.  
根據中華民國個人資料保護法（以下稱「個資法」），兆豐國際商業銀行股份有限公司香港分行（下稱「本行」）提供有關本行從客戶收集得來之個人資料的使用及目的詳情。現附上本通知的詳細內容如下，俾供參考。
- Upon using the Bank's services, customers and/or data subjects acknowledge that all statements, notices and policies which are issued or announced from time to time have been carefully read, and agree to be bound by the aforesaid documents, and fully understand the Bank's intentions and purposes of collecting, processing and handling customers' and/or data subjects' personal data.  
客戶及／或資料當事人利用本行服務，即表示已細閱及同意本行不時所載的所有保障個人資料相關通知、通告及政策，並已清楚瞭解本行蒐集、處理或利用客戶及／或資料當事人之個人資料的目的及用途。
- Nothing in this Statement shall limit the rights of customers under the Personal Data (Privacy) Ordinance of Hong Kong.  
本通知不會限制客戶在香港個人資料（私隱）條例下所享有的權利。
- The person to whom enquires the Bank's privacy policies and practices are to be addressed is as follows:  
任何關於本行的個人資料政策及作業之查詢，應向下列人士提出：

The Personal Information Management Officer 個人資料管理主任			
Mega International Commercial Bank Co., Ltd. Hong Kong Branch 兆豐國際商業銀行股份有限公司香港分行			
Address 地址	Suite 2201, 22/F, Prudential Tower, The Gateway, Harbour City, 21 Canton Road, Tsimshatsui, Kowloon, Hong Kong 香港九龍尖沙咀廣東道 21 號海港城港威大廈英國保誠保險大樓 22 樓 2201 室		
Telephone 電話	(852) 2525-9687	Fax 傳真	(852) 2525-9014
		Email 電郵	icbchkg@netvigator.com

- Only Chinese version of the clauses of this Statement hereunder shall be provided. Chinese explanation shall apply and prevail therewith.  
本行只提供本通知內詳細條項的中文版本，概以中文釋義為準。
- Annex (see overleaf) constitutes an integral part of this Statement.  
附表（見後頁）成為本通知之一部分。

**履行中華民國個人資料保護法第九條第一項告知義務內容**  
**Statement of Advice Regarding the Compliance of Paragraph 1 of Article 9 of**  
**the Personal Information Protection Act of the Republic of China**

親愛的女士／先生您好，由於個人資料之蒐集，涉及 臺端的隱私權益，本行蒐集 臺端之個人資料時，依據個人資料保護法（以下稱個資法）第九條第一項規定，應明確告知 臺端下列事項：

- 資料來源；
  - 蒐集之目的；
  - 個人資料之類別；
  - 個人資料利用之期間、地區、對象及方式；
  - 當事人依個資法第三條規定得行使之權利及方式。
- 本行所蒐集 臺端之個人資料係由 透過利用 臺端個資名義申請使用本行服務之客戶／使用者／代表／監護人／個人資料持有者，及／或資料當事人（另行以口頭告知／轉告） 提供。
  - 有關本行蒐集 臺端個人資料之目的、個人資料類別及個人資料利用之期間、地區、對象及方式等內容，請 臺端詳閱如後附表或本行網站。
  - 依據個資法第三條規定， 臺端就本行保有 臺端之個人資料得行使下列權利：
    - 除有個資法第十條所規定之例外情形外，得向本行查詢、請求閱覽或請求製給複製本，惟本行依個資法第十四條規定得酌收必要成本費用。
    - 得向本行請求補充或更正，惟依個資法施行細則第十九條規定， 臺端應適當釋明其原因及事實。
    - 本行如有違反個資法規定蒐集、處理或利用 臺端之個人資料，依個資法第十一條第四項規定， 臺端得向本行請求停止蒐集。
    - 依個資法第十一條第二項規定，個人資料正確性有爭議者，得向本行請求停止處理或利用 臺端之個人資料。惟依該項但書規定，本行因執行業務所必須並註明其爭議或經 臺端書面同意者，不在此限。
    - 依個資法第十一條第三項規定，個人資料蒐集之特定目的消失或期限屆滿時，得向本行請求刪除、停止處理或利用 臺端之個人資料。惟依該項但書規定，本行因執行業務所必須或經 臺端書面同意者，不在此限。
  - 臺端如欲行使上述個資法第三條規定之各項權利，有關如何行使之方式，得向本行查詢（電話：(852) 2525-9687）。

